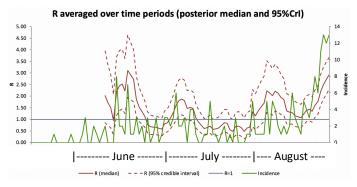
Summary of Brookings COVID-19 Public Health Thresholds Week Ending 8/28/2020

- 1. Is the epidemic controlled? No
- 2. Is the health system able to cope with a resurgence of COVID-19 cases that may arise after adapting some measures? **Yes**
- 3. Is the public health surveillance system able to detect and manage the cases and their contacts, and identify a resurgence of cases in Brookings?
 - a. Mitigation Level: **No**b. Suppression Level: **No**
 - c. Approximate time to receive test results: about 1-2 days

DATA:

1. Is the epidemic controlled? R_t evaluated weekly. If R_t is <1.0 for 14 days or longer this would be a 'yes', otherwise it would be a 'no'.

 R_t has exceeded 1.0 for the last 14 days. Below are the R_t based on number of cases in Brookings for 106 days ending on 8/28/20.



R_t for the most recent 14 days (based on data from the previous week):

Based on Data for Week Ending:	Brookings	South Dakota
8/15	1.31	1.07
8/16	1.17	1.10
8/17	1.12	1.13
8/18	1.09	1.17
8/19	1.24	1.18
8/20	1.40	1.25
8/21	1.45	1.33
8/22	1.37	1.55
8/23	1.62	1.49
8/24	1.78	1.53
8/25	2.24	1.54
8/26	2.52	1.71
8/27	2.74	1.88
8/28	2.94	1.93

Notes:

- The closer R_t is to 0, the sooner transmission control will be attained.
- Super spreader events are not predicted by R_t and can rapidly affect transmission trajectories.

2. Is the health system able to cope with a resurgence of COVID-19 cases that may arise after adapting some measures? Evaluate hospital bed and ICU availability and determine whether an increase of 20% in the number of cases can be absorbed within the health system. If health system can absorb increase than 'yes', otherwise 'no'.

Yes. The number of new cases in Brookings reported for the seven days up to and including 8/28/20 was 68. Adding an additional 20% is 82. Brookings Health System has a surge capacity of 80 beds and the personnel to easily handle 45 beds. Based on the current numbers of hospitalizations per day (statewide 7-day running average of 6.7), it is felt that the Brookings Health System can handle the anticipated number of possible admissions.

3. Is the public health surveillance system able to detect and manage the cases and their contacts, and identify a resurgence of cases? Evaluate whether the <u>mitigation and suppression levels</u> of testing are being met.

Mitigation level of testing uses the total number of tests completed in Brookings in the previous seven days and determines whether it is equal to or greater than the total number of new cases identified plus ten times the number of new cases. The number of tests completed does not include targeted testing (i.e., public health surveillance or sentinel testing in nursing homes or on campus).

Suppression level of testing is being met when the percentage of positive test results in the previous seven days is equal to or less than 3.0%. If mitigation and suppression levels of testing are being met than this would be 'yes', otherwise it would be 'no'.

Mitigation & Suppression Levels of Testing. Testing data for the previous seven days:

		Ten times			% Test
	Total # of	number of	Tests needed to meet	Tests completed	Positivity
	cases	new cases:	mitigation level:	(mitigation):	(suppression)
Brookings Health System Dashboard as of 8/25/20:*					
Brookings	68	680	748	409	18.1%
South Dakota Department of Health Dashboard as of 8/28/20:**					
Brookings	68	680	748	279	25.4%
South Dakota	1,633	16,330	17,963	7,310	22.3%

^{*} Brookings data are based on number of tests completed, not the number of people tested. Data for a particular date are not reported until all test results are back.

Approximate time to receive test results as of 8/28/20 (Brookings): 1-2 days

^{**} Includes sentinel surveillance tests (e.g., nursing homes, first responders, etc.) and is based on number of people tested, not the number of tests.

Brookings COVID-19 Thresholds Overview of Public Health Criteria Used to Control Transmission of COVID-19

The World Health Organization (WHO) uses three criteria for consideration in adjusting public health and social measures related to COVID-19 and suggests measures that can be used for evaluating these criteria¹:

- 1. **Epidemiology** Is the epidemic controlled?
- 2. **Health System Capacity** Is the health system able to cope with a resurgence of COVID-19 cases that may arise after adapting some measures?
- 3. **Public Health Surveillance** Is the public health surveillance system able to detect and manage the cases and their contacts, and identify a resurgence of cases?

The various measures suggested by the WHO for evaluating the above criteria are given at the end of this summary as an Appendix. The ones given below are those that are locally available.

Epidemiology

The key measure for assessing whether the epidemic is controlled is the effective reproduction number (R_t). R_t represents the number of secondary cases for each infectious case and a value below 1 is the best indication that the epidemic is controlled and declining. The closer R_t is to 0, the sooner transmission control will be attained. The R_t depends on factors related to the number of susceptible individuals and their potential contact with infectious persons. It should be noted that superspreader events are not predicted by R_t and can rapidly affect transmission trajectories.

A R_t of less than 1 for at least two weeks is used to indicate the epidemic is controlled. An algorithm is available that will calculate an estimate for R_t if case surveillance data are available.²

Brookings Measure: *Is the epidemic controlled?* R_t evaluated weekly. If R_t is <1.0 for 14 days or longer this would be a 'yes', otherwise it would be a 'no'.

Health System Capacity

A key measure for assessing whether the health system is able to cope with a resurgence of cases is that the number of new cases requiring hospitalization is smaller than the estimated maximum hospital and ICU bed capacity of the health system (i.e. the health system can cope with new hospitalizations without becoming overwhelmed while maintaining delivery of essential health services). One of the criteria used is that the health system can absorb or expand to cope with at least a 20% increase in COVID-19 case load.

Brookings Measure: Is the health system able to cope with a resurgence of COVID-19 cases that may arise after adapting some measures? Evaluate hospital bed and ICU availability and determine whether an increase of 20% in the number of cases can be absorbed within the health system. If health system can absorb increase than 'yes', otherwise 'no'.

 $^{^{1}\,\}underline{\text{https://www.who.int/publications/i/item/public-health-criteria-to-adjust-public-health-and-social-measures-in-the-context-of-covid-19}$

² https://academic.oup.com/aje/article/178/9/1505/89262

Public Health Surveillance

There are several criteria listed under public health surveillance, including surveillance systems, case investigation and contact tracing. Many of these measures are not known at a county level; however, the number of tests and test positivity are known. The Harvard Global Health Institute has established targets for assessing the adequacy of testing at both the mitigation level and the suppression level:³

Mitigation level testing: Mitigation focuses on reducing the spread of the virus through broad testing of symptomatic people, tracing and testing a recommended 10 contacts per new case, isolating positive contacts, social distancing, mask-wearing or stay-at-home orders as necessary. Testing targets for mitigation is set as the sum of symptomatic cases and 10 times the number of cases (to cover the contacts) and does not include targeted testing (sentinel testing of nursing homes, schools, etc.).

Suppression level testing: Suppression allows a community to quickly find and isolate new cases before they lead to a wider outbreak, with an aim of keeping new case levels at or near zero. A test positivity rate of 3% or less can be used as an indicator of progress towards suppression level testing. Suppression level testing requires testing of asymptomatic people in high-risk environments including nursing homes, colleges, etc.

Brookings Measure: Is the public health surveillance system able to detect and manage the cases and their contacts, and identify a resurgence of cases? Evaluate whether mitigation and suppression levels of testing are being met using the total number of tests completed and test positivity in Brookings during the previous week and approximate length of time for test results to received back in the clinics.

For mitigation level of testing the number of tests completed should be equal to or greater than the total number of new cases identified plus ten times the number of new cases. For suppression level testing, the test positivity should be 3% or less.

It will be noted whether the mitigation and suppression levels of testing are being met, as well as the approximate length of time between samples being submitted by local labs and test results being received.

Additional notes

Caveats regarding the data:

- The number of cases by day that are used in calculating Rt are based on the numbers posted to the SDDOH dashboard. These dates are not the date symptoms appeared and not necessarily the date the test sample was obtained or the test was conducted; they are the date that the test results were reported to SDDOH. This is the best information that is available.
- The number of tests conducted in Brookings are not the number of individuals tested, but the number of tests performed, which may include repeated testing of the same individual.

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³ https://globalepidemics.org/testing-targets/

APPENDIX: Criteria recommended by the WHO⁴

Table 1. WHO Criteria for Epidemiological Control

Epidemiological Criteria	Explanation
Decline of at least 50% over a 3-week period since the	This indicates a decline in transmission equivalent to a halving time of
latest peak and continuous decline in the observed	three weeks or less since the latest peak, when the testing strategy is
incidence of confirmed and probable cases °	maintained or strengthened to test a greater % of suspected cases.
Less than 5% of samples positive for COVID-19, at least	The % positive samples can be interpreted only with comprehensive
for the last 2 weeks, ° assuming that surveillance for	surveillance and testing of suspect cases, in the order of 1/1000
suspected cases is comprehensive	population/week
Less than 5% of samples positive for COVID-19, at least	Through ILI sentinel surveillance, a low % of positive samples indicates
for the last 2 weeks°, among influenza-like-illness (ILI)	low community transmission*
samples tested at sentinel surveillance sites	
At least 80% of cases are from contact lists and can be	This indicates that most transmission chains have been identified,
linked to known clusters	offering the opportunity for follow-up. This may be limited by the fact
	that the information will certainly not have been collected at the height
	of the epidemic.
Decline in the number of deaths among confirmed and	This will indicate, with an approximately 3-week lag-time, that the total
probable cases at least for the last 3 weeks °	number of cases is decreasing. If testing has decreased, then the
	number of deaths in probable cases will be more accurate.
Continuous decline in the number of hospitalization and	This indicates, with an approximately 1-week lag-time and providing
ICU admissions of confirmed and probable cases at least	that the criteria for hospitalization have not changed, a decline in the
for the last 2 weeks°	number of cases.
Decline in the age-stratified excess mortality due to	When pneumonia cases cannot be systematically tested, a decline in
pneumonia	the mortality of pneumonia would indirectly indicate a reduction in the
	excess mortality due to COVID-19.

^{*} Trend evaluation requires that no changes occurred in testing or measurement strategy

Table 2. WHO Criteria for Health System Capacity

Health System Criteria	Explanation	
All COVID-19 patients can be managed according to national standard	This indicates that the health system has returned to a state where all	
All other patients with a severe non-COVID-19 condition can be managed according to national standard	conditions (staff, beds, drugs, equipment, etc.) are there to provide same standard of care that existed before the crisis.	
There is no increase in intra-hospital mortality due to non-COVID-19 conditions		
The health system can absorb or can expand to cope with at least a 20% increase in COVID-19 case load	This indicates that the system would be sustainable even if it had to absorb a surge in cases resulting from loosening public health and social measures. This includes sufficient staff, equipment, beds, etc.	
An Infection, Prevention and Control (IPC) focal point is available in all health facilities (1 full-time trained IPC focal point per 250 beds) and at district level	This indicates strong capacity for coordination, supervision and training on IPC activities, including in primary health facilities.	
All health facilities have screening for COVID-19	This is for ensuring that all patients who come to a facility are assessed for COVID-19 in order to prevent health associated infections.	
All acute health facilities have a mechanism for isolating people with suspected COVID-19	The health system has sufficient capacity to isolate all patients with COVID-19	

^{° 2-}week period corresponds to the maximum incubation period and is the minimum period on which to assess changes in trends.

 $^{^{4}\,\}underline{\text{https://www.who.int/publications/i/item/public-health-criteria-to-adjust-public-health-and-social-measures-in-the-context-}\\ \underline{\text{of-covid-19}}$

Table 3. WHO Criteria for Public Health Surveillance

Public Health Surveillance Criteria	Explanation			
Public Health Surveillance Systems				
New cases can be identified, reported, and data included in epidemiological analysis within 24 hours	A surveillance system for COVID-19 is in place that is geographically comprehensive and covers all persons and communities at risk. Comprehensive surveillance includes surveillance at the community level, primary care level, in hospitals, and through sentinel surveillance sites for influenza and other respiratory diseases, where they exist.			
Immediate reporting of probable and confirmed cases of COVID-19 is mandated within national notifiable disease with requirements	This indicates that appropriate public health policies are in place for immediate notification of cases of COVID-19 from all health facilities.			
Enhanced surveillance is implemented in closed residential settings and for vulnerable groups	This indicates that public health authorities have identified populations who live in residential settings or are vulnerable and that enhanced surveillance is put in place for these populations.			
Mortality surveillance is conducted for COVID-19 related deaths in hospitals and in the community	This indicates the ability to rapidly and reliably track the number of deaths related to COVID-19. Where possible, medical certificate of death for COVID-19 deaths should be issued. Other approaches for mortality surveillance may be considered, such as reports from religious centres or burial sites.			
The total number of laboratory tests conducted for COVID-19 virus is reported each day	Knowing the testing denominator can indicate the level of surveillance activity and the proportion of tests positive can indicate the intensity of transmission among symptomatic individuals.			
Public health rapid response teams are functional at all appropriate administrative levels	Case Investigation A measure of the capability to rapidly investigate cases and clusters of COVID-19.			
90% of suspect cases are isolated and confirmed/released within 48 hours of symptom onset	This indicates that investigation and isolation of new cases is sufficiently rapid to minimize the generation of secondary cases.			
At least 80% of new cases have their close contacts traced and in quarantine within 72 hours of case confirmation	Contact Tracing These indicate that the capacity to conduct contact tracing is sufficient for the number of cases and contacts.			
At least 80% of contacts of new cases are monitored for 14 days	Contacts should be contacted each day during the 14-day period and ideally no more than two days should elapse without feedback from a contact.			
Information and data management systems are in place to manage contact tracing and other related data	While contact tracing data can be managed on paper at a small scale, large-scale contact tracing can be supported by electronic tools such as the <i>Go.Data</i> contact tracing software.			